Under the Par	perwork Reduction Act of 1995, no persons are rec	uired to resp	U.S. Pati ond to a collect	ent and Trademark Office: U.S. DEPARTMENT OF COMMERCE tion of information unless it displays a valid OMB control number.							
	UTILITY	Attomey D	Ocket No.	00063.01R							
PA ⁻	TENT APPLICATION	First Inve	ntor	Ron L. HALE							
	TRANSMITTAL	Title		METHOD FOR TREATING PAIN							
(Only for new	v nonprovisional applications under 37 CFR 1.53(b))	Express M	fail Label	ER 317 679 510 US							
See MPEP	APPLICATION ELEMENTS chapter 600 concerning utility patent application co	ontents.	,	Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria VA 22313-1450							
2. Al Si 3. Si -1	ee Transmittal Form (e.g., PTO/SB/17) Submit an original, and a duplicate for fee processin pplicant claims small entity status. ee 37 CFR 1.27. pecification [Total Pages [T	29]		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) sotide and/or Amino Acid Sequence Submission licable, all necessary) Computer Readable Form (CRF) Specification Sequence Listing on:							
- (- ! - I - I	Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Brief Summary of the Invention		с.	i. CD-ROM or CD-R (2 copies); or ii. Paper Statements verifying identity of above copies							
- (Brief Description of the Drawings (if filed)			COMPANYING APPLICATION PARTS							
- (Detailed Description Claim(s) Abstract of the Disclosure		9. 7	Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney							
5. Oath or C a. [b. [Newly executed (original or copy) Copy from a prior application (37 CF (for continuation/divisional with Box 18 continuation) i. DELETION OF INVENTOR(S) Signed statement attached deletin named in the prior application, see 1.63(d)(2) and 1.33(b). pplication Data Sheet. See 37 CFR 1.76	mpleted) (165) S) ng inventor(s) e 37 CFR	17.	English Translation Document (if applicable) Information Disclosure Statement (IDS)/PTO-1449 Citations Preliminary Amendment Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Other:							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner Group / Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.											
	19. CORRESPONDENCE ADDRESS										
X	Customer Number:	37485	; 	or Correspondence address below							
Name	Elaine C. Stracker J.D., Ph.D.										
IVallie	V.P. Intellectual Property										
Addmass	Alexza Molecular Delivery Corporation										

650.687.3905 Country USA 650.687.3999 Telephone Fax Name (Print/Type) Registration No. (Attorney/Agent) Elaine C. Stracker J.D., Ph.D 43,166 Signature Date 11/20/2003

 $\mathbf{C}\mathbf{A}$

Zip Code

94303

State

Address

City

1001 E. Meadow Circle

Palo Alto

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSI	ЛΙΤΤΔ		Complete if Known						
		\ <u> </u>	Application Number	Not Yet Assigned					
for FY 20	JU3		Filing Date	November 20, 2003					
Effective 01/01/2003. Patent fees are sub	ject to annual revisi	ion.	First Named Inventor	Ron L. HALE					
Applicant claims small entity status.	See 37 CFR 1.:	27	Examiner Name	Not Yet Known					
			Art Unit	Not Yet Known					
TOTAL AMOUNT OF PAYMENT	(\$) \$46	Attorney Docket No.		0063.01R					
METHOD OF PAYMENT (c)	eck all that apply)		FEE CALCULATION (continued)						
Check Credit card Money Order	ther None		ADDITIONAL FEES						

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit card Money Other None	3. ADDITIONAL FEES							
Deposit Account:	Large F	ntity Fee	Smal Fee	LEntity Fee	-			
Deposit Co. T. C	Code	(\$)	Code	(\$)	Fee Description	Fee Paid		
Account Number 502731	1051	130	2051		Surcharge - late filing fee or oath			
Deposit Alauma Malaanlan Dalinami Cam	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Account Name Alexza Molecular Delivery Corp.	1053	130	1053	130	Non - English specification			
The Director is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge any additonal fee(s) during the pendency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner			
Charge fee(s) indicated below, except for the filing fee	1051	110	2251		action Extension for reply within first month			
to the above-identified deposit account.	1251 1252	110	2251		Extension for reply within second month			
FEE CALCULATION		410 930	2252	205 465	Extension for reply within third month			
1. BASIC FILING FEE	1253		2253	725	Extension for reply within fourth month	<u> </u>		
Large Entity Small Entity Fee Fee Fee Fee Description	1254	1,450 1,970	2254	985	Extension for reply within fifth month			
Code (\$) Code (\$) Fee Paid	1401	320	2401	160	Notice of Appeal			
1001 750 2001 375 Utility filing fee 385.00	1401	320	2401	160	Filing a brief in support of an appeal			
1002 330 2002 165 Design filing	1402	280	2402	140	Request for oral hearing			
1003 520 2003 260 Plant filing fee	1451		1	1,510	Petition to institute a public use proceeding			
1004 750 2004 375 Reissue filing	1452	1,510	2452		Petition to revive - unavoidable			
1005 160 2005 80 Provisional filing fee		1,300	2453		Petition to revive - unintentional			
SUBTOTAL (1) (\$) \$385.00		1,300	2501	650	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES FOR UTILITY AND	1502	470	2502	235	Design issue fee			
Fee from Extra Claims below Fee Paid	1503	630	2503	315	Plant issue fee			
Total Claims 24 -20** = 4 X 9.00 = 36.00	1460	130	1460	130	Petitions to the Commissioner			
Independent 4 - 3** = 1 X 43.00 = 43.00	1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)			
Multiple Dependent =	1806	180	1806		Submission of Information Disclosure			
Large Entity Small Entity Fee		40		40	Statement			
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))			
1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be examined			
	1801	750	2801	275	(37 CFR § 1.129(b)) Request for Continued Examination (RCE)			
1204 84 2204 42 ** Reissue independent claims over original patent	1802	900	1		Request for expedited examination			
1205 18 2205 9 ** Reissue claims in excess of 20	1002	900	1802	300	of a design application			
and over original patent	Oth	er fee (specify)				
SUBTOTAL (2) (\$) \$79.00								
**or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)								
SUBMITTED BY		Complete (if applicable)						
Name (District) Flairs C Streeter ID Db D	T	Registra	ation N	O.	42 166 Tolophone (650) 697	2005		

SUBMITTED BY	<u> </u>		-	Complete (i	f applicable)
Name (Print/Type)	Elaine C. Stracker J.D., Ph.D.	Registration No. (Attorney/Agent)	43,166	Telephone	(650) 687-3905
Signature	Glassi C. Such			Date	November 20, 2003

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Complete if Known

Not Yet Assigned

November 20, 2003

PTO/SB/17 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application Number

Filing Date

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent rees are subject to annual revision									-	Ron L. HALL				
Applicant claims small entity status. See 37 CFR 1.2				27	Examiner Name				Not Yet Known					
TOTAL AMOUNT OF PAYMENT (\$) \$40			$\sum_{i} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{j=1}^{n} \sum_{i} \sum_{j=1}^{n} \sum_{j=1}^{n$	Art Unit				Not Yet Known						
TOTAL AMOUNT OF PAYMENT (\$) \$40			Attorney Docket No. (0063.0	1K					
METHOD OF PAYMENT (check all that apply)							FE	E C	ALCULA	TION (co	ntinued)			
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Deposit Acc	ount:			Fee	Fee	Small Fee	Fee Fee	•	Fee	Descriptio	ın		Fee Paid	
Deposit Account		502731		Code 1051	(\$) 130	2051	(\$) 65	Surch		filing fee or				
Number		202701		1052	50	2052		Surch	harge - late	provisional		cover		
Deposit Account	Alexza M	olecular Deli	very Corp.		130	1053	130	sheet	-	acification				
Name				1053 1812	2,520				- English sp iling a requi					
The Director is au Charge fee(s)		(check all that w Credit ar	apply) ny overpayments	1804	920*	1804			ing a request for ex parte reexamination esting publication of SIR prior to Examiner					
=		during the pendency						action	n					
=		w, except for the fil		1805	1,840*	1805 1	,840*	Requesting publication of SIR after Examiner action						
to the above-identif		•		1251	110	2251	55		•	sion for reply within first month				
	FEE CA	LCULATION		1252	410	2252	205			sion for reply within second month				
1. BASIC FI	ILING FEE	2.0		1253	930	2253	465			ply within thi				
Large Entity S		Fee Description			1,450	2254	725		· ·	ply within for				
	Code (\$)	ee Description	Fee Paid		1,970	2255	985			ply within fift	n monu			
1001 750 2	2001 375 U	Itility filing fee		1401	320	2401	160 160		e of Appea	u support of ar	anneal			
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l l		Plant filing fee			1,510	1451		•		ute a public i	use procee	ding		
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1000 100 2			(\$)		1,300	2453	650	Petiti	ion to revive	e - unintentio	onal		-	
A FV=5.4.5		` '		1501	1,300	2501	650	Utility	y issue fee	(or reissue)				
2. EXTRA C	LAIM FEE	S FOR UTILITY Fee	e from	1502	470	2502	235	Desig	gn issue fe	n issue fee				
Total Claims	Extra Cla		elow Fee Paid	1503	630	2503	315	Plant	t issue fee	issue fee				
Total Claims Independent	-20** = - 3** =		= 0.00	1460	130	1460	130	Petiti	ions to the	Commission	er			
Claims — Multiple Depende			=	1807	50	1807	50		•	essing fee under 37 CFR § 1.17(q)				
Large Entity S				1806	180	1806	180		mission of II ement	nformation D	isclosure			
	ee Fee Code (\$)	Fee Descrip	ption	8021	40	8021	40	Reco	ording each	patent assign	gnment per	property	40.00	
		Claims in excess		1809	750	2809	375	Filing		sion after fina				
		Independent claim Multiple depender	ns in excess of 3 nt claim, if not paid	1810	750	2810	375	For e	each additio	onal invention	n to be exa	mined		
	203 140 1	* Reissue indepe	ndent claims	1801	750	2801	375		CFR § 1.12 uest for Cor	29(b)) ntinued Exar	nination (R	CE)		
		over original pat	ent	1802		1802	900			edited exam	ination			
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	SUBT	OTAL (2)	(\$) \$0.00		\	//	_							
**or number previously paid, if greater; For Reissues, see above					duced b	y Basic	Filing	Fee P	Paid	SUBTO1	ΓAL (3)	(\$)	\$40.00	
SUBMITTED I				-			Complete (i	f applicable)		_=				
Name (Print/Type) Elaine C. Stracker J.D., Ph.D.				Registration No.			Telephone							
Signature				Date				November 20, 2003						
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